No. 2007–84

THE INTEGRAL TREES WITH SPECTRAL RADIUS 3

By A.E. Brouwer, W.H. Haemers

October 2007

ISSN 0924-7815
The integral trees with spectral radius 3

A. E. Brouwer & W. H. Haemers

Abstract
There are eleven integral trees with largest eigenvalue 3.

Keywords: Integral graphs, graph spectra, trees. JEL-code C0.

1 Integral trees

A finite graph is called integral if the spectrum of its adjacency matrix has only integral eigenvalues. A tree is a connected undirected graph without cycles.

In [1] a table is given of the integral trees on at most 48 vertices. (There are only 25 of these, showing that integral trees are rare objects.)

The nicest result about integral trees is that by Watanabe [13] that says that an integral tree different from $K_2$ does not have a complete matching.

All ‘starlike’ integral trees, that is, all integral trees with at most one vertex of degree larger than 2, were given by Watanabe and Schwenk [14].

All integral trees of diameter at most 3 were given in [14, 5]. See also [11, 3].

Several people have worked on constructing integral trees with large diameter, and examples with diameters 0–8 and 10 are known, see [14, 10, 3, 9, 7, 8]. It is unknown whether integral trees can have arbitrarily large diameter.

1.1 Names

Here we are interested in classification, and in order to indicate which tree is meant, some notation is needed. Given a tree, pick some vertex and call it the root. Now walk along the tree (depth-first), starting at the root, and when a vertex is encountered for the first time, write down its distance to the root. The sequence of integers obtained is called a level sequence for the tree. A tree is uniquely determined by any level sequence. The parent of a vertex labeled $m$ is the last vertex encountered earlier that was labeled $m - 1$.

For example, the graph $K_{1,4}$ gets level sequence 01111 if the vertex of degree 4 is chosen as root, and 01222 otherwise.

We use exponents to indicate repetition: 01111 can be written 01$^3$ and 0121212 as 0(12)$^3$.

1.2 Classification

One can try to classify integral trees by their largest eigenvalue $\lambda_{\text{max}}$.

The only example with $\lambda_{\text{max}} = 0$ is $K_1$, with spectrum 0.
The only example with $\lambda_{\text{max}} = 1$ is $K_2$, with spectrum 1, $-1$.

There are three integral trees with $\lambda_{\text{max}} = 2$, namely 01111 (on 5 vertices, with spectrum 2, 0, 1, -1, -2) and 012212 (on 7 vertices, with spectrum 2, 1, $1^2$, 0, $(-1)^2$, -2). Here exponents denote eigenvalue multiplicities.

(Since all graphs with largest eigenvalue at most 2 are known, the above follows by simple inspection. See also §2.7 below.)

In this note we find all integral trees with $\lambda_{\text{max}} = 3$. The table below gives a serial number, the number of vertices $n$, the diameter $d$, a level sequence and the nonnegative part of the spectrum. (Note that trees are bipartite, and hence have a spectrum that is symmetric w.r.t. 0.)

<table>
<thead>
<tr>
<th>#</th>
<th>n</th>
<th>d</th>
<th>graph</th>
<th>spectrum</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>10</td>
<td>2</td>
<td>01</td>
<td>$0^5$</td>
</tr>
<tr>
<td>2</td>
<td>14</td>
<td>3</td>
<td>012$i^6$</td>
<td>3, 2, $0^{10}$</td>
</tr>
<tr>
<td>3</td>
<td>17</td>
<td>4</td>
<td>0(12)$^4$</td>
<td>3, 1, $0^7$</td>
</tr>
<tr>
<td>4</td>
<td>17</td>
<td>4</td>
<td>012$(12)^4$</td>
<td>3, 2, 1, 0$, 0^7$</td>
</tr>
<tr>
<td>5</td>
<td>19</td>
<td>4</td>
<td>012$(12)^6$</td>
<td>3, 2, 1, $0^5$</td>
</tr>
<tr>
<td>6</td>
<td>25</td>
<td>5</td>
<td>01(23)$^3$22(12)$^3$</td>
<td>3, 2, 1, 0$^1$</td>
</tr>
<tr>
<td>7</td>
<td>26</td>
<td>4</td>
<td>0(12)$^5$</td>
<td>3, 2, $0^{16}$</td>
</tr>
<tr>
<td>8</td>
<td>31</td>
<td>6</td>
<td>012$(123)^3$2(12)$^2$1</td>
<td>3, 2, 1, $0^{11}$</td>
</tr>
<tr>
<td>9</td>
<td>31</td>
<td>6</td>
<td>0(123)$^3$2(12)$^3$</td>
<td>3, 2, 1, 0$^7$</td>
</tr>
<tr>
<td>10</td>
<td>37</td>
<td>6</td>
<td>0(123)$^3$2(12)$^3$</td>
<td>3, 2, 1, 0$^7$</td>
</tr>
<tr>
<td>11</td>
<td>37</td>
<td>6</td>
<td>0(123)$^3$2(12)$^3$</td>
<td>3, 2, 1, 0$^7$</td>
</tr>
</tbody>
</table>

Theorem Let $T$ be a tree with integral eigenvalues and largest eigenvalue 3. Then $T$ is one of the above 11 graphs.

1.3 A family of examples

Of the above 11 examples, 8 have a spectral center (cf. §2.6 below) that is a single vertex. Let us give a uniform description of these.

Consider the tree 01$(12)^{a}(12222)^{b}(12333)^{c}(1232323)^{c}$. It has $n = 1 + a + 2b + 5c + 5d + 7e$ vertices, and spectrum $(x^2 + 3c + 3d + e - 1)(x^2 - 1) + (\pm 1)^{b+c+1} + (\pm 1)^{c+2e-1}$ plus 6 more eigenvalues, roots of $x^2(x^2 - 1)(x^2 - 4) + bx^2(x^2 - 4) + cx^2(x^2 - 1) + dx^2(x^2 - 1) + e(x^2 - 1)^2$.

(This follows by direct computation of the characteristic polynomial. These last 6 eigenvalues belong to the eigenvectors that are nonzero at the top vertex.)

The tree is integral in the cases 01$a$ with $a = t^2$, and 0(12)$^b$ with $b = t^2 - 1$, and 0(12222)$^c$ with $c = t^2 - 4$, and $b = 0$, $c = 3a + 2d - 3$, $e = t^2 - 4a - 3d$, where $t$ is the largest eigenvalue.)
For $t = 3$ we find examples #1, 3, 6–11. Many of the integral trees in this family, and in particular #8 and #11, seem to be new.

2 Generalities

We start describing some tools we need. Everything is very well known, except possibly for the concept of ‘spectral center’ of a tree.

2.1 Perron-Frobenius

Let $T$ be a connected graph with largest eigenvalue $\lambda$. Then $\lambda$ has multiplicity 1, and any proper subgraph of $T$ (induced or not) with at least one vertex has largest eigenvalue strictly less than $\lambda$.

2.2 Interlacing

Let $T$ be a graph with eigenvalues $\theta_1 \geq \theta_2 \geq ... \geq \theta_n$, and let $x$ be a vertex of $T$. Let $T \setminus x$ have eigenvalues $\eta_1 \geq ... \geq \eta_{n-1}$. Then $\theta_1 \geq \eta_1 \geq \theta_2 \geq \eta_2 \geq ... \geq \eta_{n-1} \geq \theta_n$.

2.3 Stars

The star $K_{1,m}$ has spectrum $\sqrt{m}$, $0^{m-1}$, $-\sqrt{m}$. In particular, if a graph has a vertex of valency $m$, then its largest eigenvalue is at least $\sqrt{m}$.

2.4 Godsil’s Lemma

Let $T$ be a tree and $\theta$ an eigenvalue of multiplicity $m > 1$. Let $P$ be a path in $T$. Then $\theta$ is eigenvalue of $T \setminus P$ with multiplicity at least $m - 1$. ([4])

2.5 Eigenvalue components

Given an eigenvalue $\lambda$ of a tree $T$, let $Z = Z(\lambda)$ be the set of vertices where all $\lambda$-eigenvectors vanish. An eigenvalue component of $T$ for the eigenvalue $\lambda$ is a connected component of $T \setminus Z$. Each eigenvalue component has $\lambda$ as an eigenvalue of multiplicity 1. Let $Z_0 = Z_0(\lambda)$ be the subset of $Z$ consisting of the $z \in Z$ with a neighbour in $T \setminus Z$. If $T \setminus Z$ has $p$ connected components, and $q = |Z_0|$, then the eigenvalue $\lambda$ of $T$ has multiplicity $p - q$. If $\lambda$ is the $j$-th largest eigenvalue of $T$, then $0 \leq |Z_0| \leq j - 1$.

2.6 The spectral center of a tree

There are various combinatorial concepts ‘center’ for trees. One has the center/bicenter and the centroid/bicentroid. Here we define a concept of center using spectral methods.

Proposition 1 Let $T$ be a tree (with at least two vertices) with second largest eigenvalue $\lambda$. There is a unique minimal subtree $Y$ of $T$ such that no connected component of $T \setminus Y$ has largest eigenvalue larger than $\lambda$. We have $1 \leq |Y| \leq 2$ and $Z_0(\lambda) \subseteq Y$. 

We call the set $Y$ the \textit{spectral center} of $T$.

\textbf{Proof} \quad If for some vertex $y$ all connected components of $T \setminus y$ have largest eigenvalue at most $\lambda$, then pick $Y = \{y\}$. Otherwise, for each vertex $y$ of $T$ there is a unique neighbour $y'$ in the unique component of $T \setminus y$ that has largest eigenvalue more than $\lambda$. Since $T$ is finite, we must have $y'' = y$ for some vertex $y$. Now pick $Y = \{y, y'\}$. Clearly $Y$ has the stated property and is minimal. Uniqueness is clear in case $|Y| = 2$. If no connected component of $T \setminus Y$ has largest eigenvalue larger than $\lambda$, and $Y = \{y\}$, then consider the eigenvalue components of $\lambda$. If $Z$ is nonempty (in particular, if $\lambda$ has multiplicity more than 1), then necessarily $Z_0 = \{y\}$, and $Y_1$ must contain $y$, and by minimality $Y_1 = Y$. If $\lambda$ has multiplicity 1 and $Z$ is empty, then there is a unique edge $e = pq$ such that the unique $\lambda$-eigenvector has different signs on $p$ and $q$, and both components of $T \setminus e$ have largest eigenvalue strictly larger than $\lambda$, so that $Y$ must contain both endpoints of $e$. \hfill $\Box$

One can be slightly more precise. In fact $Y = Z_0$ when $Z$ is nonempty, and in this case $|Y| = 1$. Otherwise $|Y| = 2$ and $Y$ contains the two endpoints of the edge on which the unique $\lambda$-eigenvector changes sign.

\section{The trees with largest eigenvalue at most 2}

The trees (integral or not) with largest eigenvalue less than 2 are the Dynkin diagrams $A_n$, $D_n$, $E_6$, $E_7$, $E_8$. The trees (integral or not) with largest eigenvalue 2 are the extended Dynkin diagrams $\tilde{D}_m$, $\tilde{E}_6$, $\tilde{E}_7$, $\tilde{E}_8$. Every tree with largest eigenvalue larger than 2 contains a subtree with largest eigenvalue 2. (This is due to Smith, see, e.g., \cite{2}, Theorem 3.2.5.)

These traditional Dynkin diagram names mean the following: $A_n$ is the path with $n$ vertices, that is, has level sequence $0, 1, \ldots, n - 1$ ($n \geq 1$). $D_n$ has level sequence $0, 1, 1, 2, \ldots, n - 3$ ($n \geq 4$), so that $D_4$ is 0111 and $D_5$ is 01112. $E_6$ is 011212, $E_7$ is 0112123, $E_8$ is 01121234. $\tilde{D}_4$ is 01111 (that is, is $K_{1,4}$). $\tilde{D}_m$ has level sequence $0, 1, 1, 2, \ldots, n - 4, n - 3, n - 3$ ($n \geq 5$), so that $\tilde{D}_5$ is 011122. $\tilde{E}_6$ is 0112121, $\tilde{E}_7$ is 01121232, $\tilde{E}_8$ is 0112123456.

There are 5 integral trees with largest eigenvalue at most 2, namely $A_1$, $A_2$, $\tilde{D}_4$, $\tilde{D}_5$, $\tilde{E}_6$. They have 1, 2, 5, 6, 7 vertices, and diameter 0, 1, 2, 3, 4, respectively.

Every nonintegral tree with largest eigenvalue at most 2 has a nonintegral eigenvalue between 1 and 2. There are 11 trees with largest eigenvalue at most 2 and a unique nonintegral eigenvalue between 1 and 2, namely $A_3$, $A_4$, $A_5$, $D_4$, $D_5$, $E_6$, $\tilde{D}_7$, $\tilde{D}_8$, $\tilde{E}_7$, $\tilde{E}_8$. They have 3, 4, 5, 4, 5, 6, 7, 8, 9, 8, 9 vertices, and diameter 2, 3, 4, 2, 3, 4, 4, 5, 6, 6, 7, respectively.

\section{Proof of the theorem}

It is straightforward to check that the 11 graphs have the spectrum given in the table. Conversely, a computer search shows that there are no other examples. Below we show how to reduce the search space so that the computer search becomes feasible.
3.1 Finitely many examples

Consider a tree \( T \) with no other eigenvalues than \( \pm 3, \pm 2, \pm 1, 0 \).

The diameter of a connected graph is smaller than the number of distinct eigenvalues. It follows that \( T \) has diameter at most 6.

The 9-star \( K_{1,9} \) has largest eigenvalue 3 (it is \#1 on the list), and a graph that strictly contains it has larger eigenvalue. Thus, if \( T \) is not \( K_{1,9} \) then its maximum valency is at most 8.

Now that both diameter and valency have been bounded, there are only finitely many possibilities.

3.2 Vertices of high degree

By interlacing, if \( x \) is any vertex of \( T \), then at most one component of \( T \setminus x \) has largest eigenvalue larger than 2. In particular, at most one component of \( T \setminus x \) has largest degree more than 4. It follows that two vertices of degree more than 5 must be adjacent, and there can be at most two such vertices. The largest eigenvalue of the bi-star \( 012^m \) obtained by adding an edge joining the central vertices of \( K_{1,m} \) and \( K_{1,r} \) is the largest root of the polynomial \((X^2 - m)(X^2 - r) - X^2\). It follows that if this bi-star is an induced subgraph (i.e., if there are two adjacent vertices of degrees \( m + 1 \) and \( r + 1 \) then \((9 - m)(9 - r) - 9 \geq 0 \) with equality only when this bi-star is all of \( T \). Consequently, a neighbour of a vertex of degree 8 has degree at most 5, and a neighbour of a vertex of degree 7 has degree at most 7, and two adjacent vertices of degree 7 occur only in \( 012^6 \), graph \#2 on the list.

3.3 Components of a tree minus a point

Let \( T \) be an integral tree with largest eigenvalue 3, and \( x \) a vertex of \( T \). Since the spectrum of \( T \setminus x \) is the union of the spectra of its connected components, it follows by interlacing that among the components of \( T \setminus x \) there is at most one that has a (unique) nonintegral eigenvalue between 1 and 2, and at most one that has an eigenvalue larger than 2.

In particular, all components of \( T \setminus x \) are one of \( A_1, A_2, \tilde{D}_4, \tilde{D}_5, \tilde{E}_6 \) except for at most one with largest eigenvalue larger than 2, and at most one that is nonintegral but has largest eigenvalue at most 2 and a unique nonintegral eigenvalue between 1 and 2.

None of \( \tilde{D}_7, \tilde{D}_8, \tilde{E}_7 \) can occur as component of \( T \setminus x \) when there are other components not reduced to a single point. Indeed, the point of attachment \( w \) in such a component must be the middle vertex (or one of the two middle vertices), otherwise \( T \) gets diameter larger than 6. But then \( T \setminus w \) has two nonintegral eigenvalues between 1 and 2, impossible. This argument applied to \( \tilde{D}_6 \) shows that it cannot be attached at the middle node, otherwise \( T \) gets eigenvalue \( \sqrt{2} \).

Let \( Y \) be the eigenvalue-center of \( T \). If \( Y = \{y\} \), then \( n \leq 1 + 56 = 57 \) since \( y \) has valency at most 8 and all components of \( T \setminus y \) have at most 7 vertices. Otherwise \( Y = \{y, y'\} \), and \( n \leq 2 + 77 = 79 \) since we saw that the sum of the valencies of two neighbours is at most 13.

3.4 Diameter

Let \( S_1, S_2 \) be disjoint subtrees of \( T \) at mutual distance \( e \) and with respective diameters \( d_1, d_2 \). Then \( T \) has diameter at least \( \lceil d_1/2 \rceil + e + \lceil d_2/2 \rceil \),
so this expression is at most 6. That means that the last estimate can be improved: if all components of $T \{y, y'\}$ have largest eigenvalue at most 2, then $n \leq 2 + 49 + 20 = 71$.

### 3.5 All possible spectra

Consider a tree $T$ on $n$ points, with adjacency matrix $A$ with spectrum $\{ \pm 3, (\pm 2)^a, (\pm 1)^b, 0^c \}$, where exponents denote multiplicities. Since the number of eigenvalues equals $n$, we have $n = 2a + 2b + c + 2$.

The number of closed walks of length $s$ in $T$ equals $tr A^s$. In particular we find $tr A^2 = 2(n - 1)$ (twice the number of edges), and it follows that $9 + 4a + b = n - 1$, that is, $8 + 2a = b + c$.

Thus, the spectrum is given by the pair $(n, a)$. Now that $n$ is bounded, we can enumerate all pairs $(n, a)$ with $n \leq 71$ and $b = n - 4a - 10 \geq 0$ and $c = 6a + 18 - n \geq 0$. There are 251 of those.

### 3.6 Eigenvalue components

Let $2$ be an eigenvalue of the tree $T$ with multiplicity $a$. Let $Z$ be the set of vertices where all 2-eigenvectors vanish, and let $Z_0$ be the subset of the $z \in Z$ with a neighbour in $T \setminus Z$. Then $T \setminus Z$ has $p = a + q$ connected components, where $q = |Z_0|$. Each connected component has size at least 5, so $n - q \geq n - |Z| \geq 5p$. It follows that if $a > 1$, then $q \geq 1$ and $n - 1 \geq 5(a + 1)$. Now 198 spectra are left.

If $C, D$ are two components of $T \setminus Z$ and $x$ a vertex nonadjacent to both, then $x$ cannot separate $C$ and $D$, since that would give two components of $T \setminus x$ both with largest eigenvalue larger than 2. It follows that $q \leq 2$, and if $q = 2$ then the two vertices in $Z_0$ are neighbours.

If there is one component of $T \setminus Z$ with largest eigenvalue greater than 2 then $q = 1$. Every other component is one of $\tilde{D}_m$ ($4 \leq m \leq 6$), $\tilde{E}_6$.

<table>
<thead>
<tr>
<th>comp</th>
<th>diam</th>
<th>size</th>
<th>ev in (2,3)</th>
<th>ev in (1,2)</th>
<th>val 4</th>
<th>val 3</th>
<th>val 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\tilde{D}_4$</td>
<td>2</td>
<td>5</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>$\tilde{D}_5$</td>
<td>3</td>
<td>6</td>
<td>0</td>
<td>0</td>
<td>2</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>$\tilde{D}_6$</td>
<td>4</td>
<td>7</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>$\tilde{E}_6$</td>
<td>4</td>
<td>7</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>3</td>
<td></td>
</tr>
</tbody>
</table>

### 3.7 Matchings

By Watanabe [13], the tree $T$ does not have a complete matching. But the characteristic polynomial of $T$ equals $\phi_T(X) = \sum_k (-1)^k a_{2k} X^{n - 2k}$, where $a_{2k}$ is the number of ways one can choose $k$ pairwise disjoint edges in $T$. That means that $\phi_T(X)$ has zero constant term, so that there is an eigenvalue 0, and $c \neq 0$. Now 189 spectra are left.

### 3.8 Degree sequences

Let $d_x$ denote the degree of the vertex $x$. Then $\sum d_x = 2(n - 1)$, twice the number of edges. And $tr A^4 = 2 \sum d_x^2 - 2(n - 1)$ so that $\sum d_x^2 = 81 + 16a + 8b + n - 1 = 90 + 20a + 7b = 70 + 12a + 2n$. We can solve this for all possible degree sequences $(d_x)$, recalling that there are at most two
vertices of degree more than 5. If there is no possible sequence, the triple can be dropped. Now 185 spectra are left.

If the largest degree is smaller than \( a + 1 \) then \( q = 2 \) and \( n - 2 \geq 5(a+2) \). In this case the sum of the two largest degrees must be at least \( a + 4 \). Now 141 spectra are left.

### 3.9 Eigenvalue components (2)

Each component of size 5 of \( T \setminus Z \) contains a point of degree 4 or 5. Components for which no such point is available have size at least 6. Now 109 spectra are left.

The number of components is \( p = a + q \), and all these components contain a point of valency 3 or 4, after attachment 3 or 4 or 5. So, there must at least be \( p \) points of valency 3, 4, or 5, and at least \( p + q \) points of valency at least 3. Now 92 spectra are left.

The point of attachment of a component \( \tilde{E}_6 \) cannot be one of its leaves, since that would give \( T \) too large a diameter. It follows that if a component does not contain points of valency 4 or 5, then it contains at least two points of valency 3. Now 76 spectra are left.

(Note that here an unknown component of largest eigenvalue larger than 2 does not harm: if it has no vertices of valency 4 or more, and at most a single vertex of valency 3, then the component is topologically a star, and if it has eigenvalue 2 that must be the largest eigenvalue because the eigenvector has constant sign. And if there is a vertex of valency 6 or more, then it must be the point of attachment (otherwise \( T \) gets two eigenvalues larger than 2), and therefore unique, and now again the 2-eigenvector has constant sign, contradiction.)

If a component does not contain points of valency 3 or at least 5, then it must also contain a point of valency 2. (\( D_4 \) component has a point of attachment: either its center, which then gets valency 5, or a leaf, which then gets valency 2.) Now 73 spectra are left.

### 3.10 Walks of length 6

\( \text{tr}A^6 \) counts the number of closed walks of length 6. We find

\[
3^6 + 2^6 a + b = \sum d_x^3 - 3 \sum d_x^2 + 2(n - 1) + 3 \sum_e d_x d_y
\]

where the last sum is over all unordered edges \( e = xy \). Given the degree sequence, this allows us to compute \( \sum_e d_x d_y \). The maximal possible value of \( \sum_e d_x d_y \) is obtained by ordering the vertices by decreasing degree, and building the tree by starting with the vertex of highest degree, and each step attaching a new vertex of highest possible degree to the vertex of highest degree in the tree that still has room left. If this maximum is smaller than the computed \( \sum_e d_x d_y \), we can discard the degree sequence. Now 59 spectra are left.

### 3.11 Matchings (2)

As already remarked, the characteristic polynomial of \( T \) equals \( \phi_T(X) = \sum (-1)^k a_{2k} X^{n-2k} \), where \( a_{2k} \) is the number of ways one can choose \( k \) pairwise disjoint edges in \( T \). That means that the product of the nonzero eigenvalues is the number of ways of choosing \( m \) disjoint edges, where \( n - 2m = c \) is the multiplicity of the eigenvalue 0.
Thus, the maximum number of pairwise disjoint edges equals \( m = a + b + 1 \). The number of non-leaves must be at least this much since no edge contains two leaves. This provides an upper bound 9 + 3\( a \) for the number of vertices of degree 1. In case of equality, the number of ways to choose \( m \) disjoint edges is the product of the number of leaves attached to the non-leaf vertices.

For example, if \( n = 16 \) and the positive spectrum is 3, 2, 1, and the degree sequence is \( 12 \times 1 \times 2 \times 1 \times 3 \times 1 \times 5 \times 1 \times 8 \), then the product of the nonzero eigenvalues is 36, and this is the product of 4 factors, namely 1, 1, 2, 1, 4, 1, 7. The only possibility is \( 1^2 \times 3 \times 6 \), so that the ‘internal graph’, after removal of the 12 leaves, is a path. But if the internal graph is a path, no nonzero eigenvalue can have multiplicity larger than 1, since the eigenvector is uniquely determined. So, no such graph exists.

### 3.12 Finish

At this point 58 spectra are left, all with \( n \leq 45 \). A computer search for all trees on at most 45 vertices, with diameter at most 6, maximum valency at most 8, and integral spectrum now finishes the job.

### 4 Application of Godsil’s Lemma

It is possible to do everything by hand, avoiding the use of a computer. (But the proof becomes long and tedious.)

The first tool to use in such a hand proof is Godsil’s Lemma. It allows one to dispose of the cases of diameter 5 and 6. Let us show here a small fragment.

Suppose \( T \) has diameter 6, the maximum possible value. Then \( a, b, c \) are nonzero. Let \( P \) be a path of length 6, that is, with 7 vertices. By Godsil’s Lemma, the forest \( T \setminus P \) has spectrum \((\pm 2)^{a-1}, (\pm 1)^{b-1}, 0^{c-1}\), and hence is integral, and each tree in that forest is one of \( A_1, A_2, D_1, D_2, E_0 \). The number of trees in that forest is the number of vertices minus the number of edges, that is, \( (n - 7) - (4a - 4 + b - 1) = 8 \).

Suppose that at least one of the components of \( T \setminus P \) is \( D_3 \), or \( E_0 \), or \( D_4 \) (attached at a leaf). Then such a component must be joined to the middle vertex \( p \) of \( P \), otherwise the diameter would become larger than 6. Now there are three paths \( P_i (i = 1, 2, 3) \) of length 3 starting at \( p \) such that for \( i \neq j \) the union \( P_i \cup P_j \) is a path of length 6.

Let \( \phi(T) \) denote the characteristic polynomial of a tree \( T \). Let \( Q_i \) be the connected component of \( T \setminus P \) containing \( P_i \setminus P \). Since the spectrum of \( T \setminus \{P_i \cup P_j\} \) is the same for all choices of \( i \neq j \), it follows that \( \phi(Q_i) / \phi(Q_j \setminus P_i) \) is the same for all \( i \).

Now \( \phi(Q_i) / \phi(Q_j \setminus P_i) \) in the three cases \( D_5, E_6, D_4 \) (attached at a leaf) equals \((X^2 - 4)(X^2 - 1)/X, (X^2 - 4)X, (X^2 - 4)X \).

If one of the \( Q_i \) is of shape \( D_5 \), then all are, and \( T \setminus P \) has spectrum \((\pm 2)^{a-1}, (\pm 1)^{b-1}, 0^{c-3}\), impossible, since the multiplicity of 0 cannot decrease by 3 when a single point is removed.

So, only the shapes \( D_3 \) and \( E_6 \) occur, and \( T \setminus P \) has spectrum \((\pm 2)^{a-1}, (\pm 1)^{b-1}, 0^{c-1}\), and has \( (n - 1) - (4a + 4 + b - 1) = 6 \) components.

Let \( T \setminus P \) have \( n_1, n_2, n_{5a}, n_{10a}, n_7 \) components of shape \( A_1, A_2, D_4 \) (attached at the center), \( D_4 \) (attached at a leaf), \( E_6 \), respectively. Then \( n_1 + n_2 + n_{5a} + n_{10a} + n_7 = 6 \). The condition for \( T \) to have eigenvalue 3 is \( 8n_1 + 4n_2 + 12n_{5a} + 6n_{10a} + 8n_7 = 45 \). It follows that \( n_2 = 0 \), and we
have one of \((n_1, n_{5a}, n_{2b}, n_7) = (1, 0, 0, 5), (0, 1, 2, 3), (1, 2, 1, 2), (2, 3, 0, 1), (0, 3, 3, 0)\). These correspond to the graphs \#10, \#11, \#8, \#6 and \#9, respectively.

This settles the case of a path \(P\) of length 6 where one of the components of \(T \setminus P\) is of shape \(\tilde{D}_5\), or \(\tilde{E}_6\), or \(\tilde{D}_4\) (attached at a leaf). Remain the cases where all components of \(T \setminus P\) are of shape \(A_1, A_2, \) or \(\tilde{D}_4\) (attached at the center), and that where \(T\) has diameter smaller than 6.

The case of diameter 5 is handled like that of diameter 6: if \(P\) has length 5, then by Godsil’s Lemma \(T \setminus P\) has eigenvalues \(\theta, (\pm 2)^{a-1}, (\pm 1)^{b-1}, 0^{c-1}\) for a single eigenvalue \(\theta\). But then \(\theta = 0\) and the spectrum is \((\pm 2)^{a-1}, (\pm 1)^{b-1}, 0^c\), so is known and integral again.

References