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Facial electromyographic responses to emotional information from faces and voices in individuals with pervasive developmental disorder

Maurice J.C.M. Magnée,1,2 Beatrice de Gelder,2,4 Herman van Engeland,1 and Chantal Kemner1,3

1Rudolf Magnus Institute of Neuroscience, Department of Child and Adolescent Psychiatry, University Medical Center Utrecht, The Netherlands; 2Laboratory of Cognitive and Affective Neuroscience, Tilburg University, The Netherlands; 3Section Biological Developmental Psychology, Faculty of Psychology, Maastricht University, The Netherlands; 4Martinos Center for Biomedical Imaging, Massachusetts General Hospital and Harvard Medical School, USA

Background: Despite extensive research, it is still debated whether impairments in social skills of individuals with pervasive developmental disorder (PDD) are related to specific deficits in the early processing of emotional information. We aimed to test both automatic processing of facial affect as well as the integration of auditory and visual emotion cues in individuals with PDD.

Methods: In a group of high-functioning adult individuals with PDD and an age- and IQ-matched control group, we measured facial electromyography (EMG) following presentation of visual emotion stimuli (facial expressions) as well as the presentation of audiovisual emotion pairs (faces plus voices). This emotionally driven EMG activity is considered to be a direct correlate of automatic affect processing that is not under intentional control.

Results: Our data clearly indicate that among individuals with PDD facial EMG activity is heightened in response to happy and fearful faces, and intact in response to audiovisual affective information.

Conclusions: This study provides evidence for enhanced sensitivity to facial cues at the level of reflex-like emotional responses in individuals with PDD. Furthermore, the findings argue against impairments in crossmodal affect processing at this level of perception. However, given how little comparative work has been done in the area of multisensory perception, there is certainly need for further exploration.

Keywords: Autism, emotional processing, facial expressions, voice prosody, electromyography (EMG), multisensory perception.

Conflict of interest statement: No conflicts declared.
behavior is therefore not an instance of strict mimicry of the stimulus, but can be considered as a fundamental component in the process of automatic emotion perception (Hatfield, Cacioppo, & Rapson, 1994).

Several researchers have argued that the lack of emotional reciprocity among individuals with PDD is a consequence of impaired recognition of emotional expressions and gestures, and of dysfunctions in the ability to appropriately modify their behavior in response to emotional cues of others (see for review Bachevalier & Loveland, 2006). Despite a large number of studies investigating facial expression recognition in PDD, however, the extent of the deficit is not clear. The variability across studies is striking and several studies have failed to find an impairment altogether (e.g. Gepner, Deruelle, & Grynfeltt, 2001; Loveland et al., 1997; Ozonoff, Pennington, & Rogers, 1990). One explanation for these mixed findings might be that patients can acquire compensational strategies, which means that more refined research methods are required to detect possible deficits (de Gelder, 1987). For instance, recent behavioral evidence using morphed continua of facial expressions points towards a specific deficit in the recognition of fear (Humphreys, Minshew, Leonard, & Behrmann, 2007), which has also been found in other studies (e.g. Ashwin, Baron-Cohen, Wheelwright, O’Riordan, & Bullmore, 2007; Dawson, Webb, Carver, Panagiotides, & McPartland, 2004).

Unraveling the close link between processing of emotions in the face and activation of emotion-related motor activity might shed more light on what is underlying the lack of emotional reciprocity in PDD.

Furthermore, since the same pattern of EMG reactivity is found in response to facial and to vocal affect expressions, it is possible to evaluate what the voice contributes to the motor response when combined with the face, i.e. the effect of the integration of emotional cues. The early integration of emotional stimuli from the auditory and visual modality is an important mechanism in producing rapid adaptive responses (e.g. de Gelder, Böcker, Tuomainen, Hensen, & Vroomen, 1999), and therefore relevant to studies on PDD. Difficulties with integration of information across different sensory modalities have been suggested in the literature as an important problem in PDD (see for review Jarrocco & McDonald, 2006). Evidence for deficits in crossmodal perception of emotions in PDD, however, is to date surprisingly limited. In one of the few studies on this topic, Hobson (1986) found impaired behavioral performance in an autistic group, although this could not be replicated (Prior, Dahlstrom, & Squires, 1990). In a PET study, Hall, Szechtman, and Nahmias (2003) found a pattern of cerebral blood flow in individuals with PDD that indicated less emphasis on an integrated processing of emotional stimuli than controls during the perception of facial expressions accompanied by prosodic information. However, given the scarce number of studies and unequivocal results, further research is required to understand the role of crossmodal integration in emotion processing in PDD.

In a recent crossmodal EMG study in healthy controls, facial muscle responses were measured while participants observed happy and fearful face–voice pairs, which were either emotionally congruent or incongruent (Magnée et al., 2007). The results clearly indicated increased reactivity to congruent as compared to incongruent affective stimulation. Specifically, congruent fearful face–voice pairs evoked corrugator activity, while congruent happy face–voice pairs evoked zygomaticus responses. This paradigm of crossmodal bias is known to provide evidence for multisensory perception, as it measures how processing in one modality is influenced by information presented in the other modality. It has been shown that these crossmodal bias effects take place at an early perceptual level (de Gelder et al., 1999), independent of awareness of the face stimulus (de Gelder, Pourtois, & Weiskrantz, 2002).

The objective of the present study was to investigate visual and combined visual and auditory affect processes in a group of young adults with PDD, by taking electromyographic measures of zygomaticus major and corrugator supercilii. Facial EMG responses were measured during the presentation of emotionally congruent and incongruent face–voice stimulus pairs. In line with previous data, we hypothesized that visual presentation of a happy face would in the control group lead to increased zygomaticus activity compared to viewing of a fearful face, and the presentation of a fearful face would lead to increased corrugator activity compared to viewing of a happy face. Also, we hypothesized that for the congruent stimulus pairs, happy face–voice trials would lead to increased zygomatic muscle activity and fearful face–voice trials to increased corrugator activity, compared with emotionally incongruent stimuli. For the individuals with PDD, increases in facial muscle activity in response to facial expressions would give evidence for intact reflex-like emotional motor activity. Additionally, finding increased facial muscle responses to congruent audiovisual (AV) expressions would suggest an intact integration of visual and auditory affective processes.

Methods

Participants

Thirteen high-functioning, medication-free, adult males with PDD (average age 21.5, SD 4.0) and 13 healthy adult males (average age 23.0, SD 2.9) participated in the study. All individuals were administered the Wechsler Adult Intelligence Scale, Dutch edition (WAIS-III-NL). Mean age and total IQ scores were similar for individuals with PDD (IQ 122.4, SD 9.2) and individuals
from the control group (IQ 127, SD 14.4). Before indi-
viduals were administered to the control group they
were screened for neurological and psychiatric history,
and for familial history of psychiatric disorders using a
short questionnaire.
All diagnoses of PDD (either autistic disorder or As-
perger syndrome) were based on DSM-IV criteria and
were made by a child psychiatrist. Additionally, all pa-
tients were administered the Autism Diagnostic
Observation Schedule (ADOS; Lord et al., 1989) by a
trained rater, and their parents were informants on the
Autism Diagnostic Interview Revised (ADI-R; Lord,
Rutter, & Le Couteur, 1994). Seven individuals with
PDD met full ADI-R and ADOS criteria for autism or
autism spectrum disorder. We were not able to acquire
ADOS scores for two patients, but both fulfilled ADI-R
criteria. Three individuals met criteria on the ADOS and
scored one or two points below cutoff on one scale of
the ADI-R. One individual scored one point below cutoff on
both ADI-R (stereotyped behavior) and ADOS (social
behavior) criteria (see Table 1 for group averages).
All participants had normal or corrected to normal
vision. They were all paid for participation. Written in-
formed consent was obtained for each participant be-
fore the session, according to the Declaration of
Helsinki (2000). Approval of the medical ethics com-
mittee of the University Medical Center Utrecht was
obtained prior to the study.

**Stimuli and procedure**

Visual stimuli consisted of six happy and six fearful
faces (half male) taken from the Ekman series (Ekman
& Friesen, 1976). Auditory stimuli consisted of spoken
sentence fragments with a neutral content, which were
pronounced in either a happy or fearful tone of voice
(the Dutch sentence fragment ‘met het vliegtuig’
meaning ‘by plane’). Each visual stimulus was com-
bined with a spoken fragment in order to construct
audiovisual stimulus pairs with either a matched
(congruent) or a mismatched (incongruent) affective
content, resulting in 12 congruent and 12 incongruent
stimulus pairs. The face–voice pairings were the same
throughout the experiment such that one face identity
was always paired with the same voice identity.
The size of the portraits was 19 cm high × 13 cm
wide, which at the mean viewing distance of 80 cm
corresponds to a visual angle of 13.5° × 9.2°. The mean
luminance of the pictures was 38 cd/m² on a 2.5 cd/
m² background. Sound was delivered over one loud-
speaker placed directly below the screen at a mean
sound level of 60 dB(a).
A trial always started with the presentation of the
face. After 900 ms, the auditory stimulus was presen-
ted, whereas the face remained on screen until the end
of the voice fragment. This delay was introduced to be
able to analyze the visual and the AV EMG response
separately. The six resulting stimulus categories were as
follows: visual happy, visual fear, congruent AV
happy, congruent AV fear, incongruent auditory happy–
visual fear and incongruent auditory fear–visual happy.
Participants were comfortably seated in a chair in a
soundproof experimental chamber. They were instruc-
ted to judge the sex of each stimulus pair, by pushing
one of two designated buttons on a response box. To
avoid any response-related components in the ongoing
EMG signal, they were instructed not to respond until
after offset of the visual stimulus. Intertrial interval was
chosen randomly between 1000 and 1500 ms, imme-
diately after the participant’s response. During this
interval, a central fixation cross was presented on
screen. Stimuli within a total of eight blocks of 24 AV
trials (equal amount of congruent and incongruent stimu-
ils) were presented randomly.

**Recordings**

Bipolar EMG activity was recorded from two left facial
muscles (zygomaticus major and corrugator supercilii),
following the guidelines given by Fridlund and Cacioppo
(1986). On each muscle, two Ag/AgCl flat-type active
electrodes (BIOSEMI) with a contact area of 2 mm and
casing of 11 mm diameter were placed in a direction
parallel to the muscle and with a distance of 15 mm
between electrode centers.

During recording, EMG signals were filtered (DC–
134 Hz, −3 dB) at a sample rate of 512 Hz. Subse-
quently, EMG signals were filtered offline (high-pass
20 Hz, 48 dB/octave), full wave rectified and checked
for gross movement associated with irrelevant activities.
The raw data were segmented into epochs for visual and
AV categories separately. The two visual stimulus cat-
ergories consisted of a 500-ms pre-stimulus baseline
condition and a 900-ms visual stimulus condition. The
four AV-stimulus categories consisted of similar 500-
ms pre-stimulus and 900-ms visual conditions, and an
extra 900-ms AV-stimulus condition. For the two vis-
ual-stimulus categories, mean rectified EMG ampli-
tudes were calculated for the 900-ms visual-stimulus
conditions. The AV categories contained mean rectified
EMG amplitude for the 900-ms AV stimulus conditions.
Subsequently, these data points were depicted as a
percentage of the mean pre-stimulus baseline
amplitude.

Two separate multivariate analyses of variance (MA-
NOVA) were performed (visual and AV) for each muscle
region, to test how the activity of the two muscles was
affected by stimulus category and whether there were
differences in this respect between groups. MANOVA
analyses for the visual EMG consisted of one between-
subjects factor Group with two levels (PDD and control
group) and one within-subjects factor Emotion with two
levels (happy and fear). In the AV conditions, we tested
separately for corrugator and zygomaticus whether
EMG activity in response to congruent and incongruent
AV stimuli differed from each other, using one between-
subjects factor Group with two levels (PDD and control
group) and the two within-subject factors Emoface
(happy face vs. fearful face) and Emovoice (happy voice

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**Table 1 ADI-R & ADOS scores in the PDD group**

<table>
<thead>
<tr>
<th></th>
<th>Mean (SD)</th>
<th>Cut-off scores</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADI Social behavior</td>
<td>19.2 (3.5)</td>
<td>10</td>
</tr>
<tr>
<td>ADI Communication</td>
<td>14.9 (5.3)</td>
<td>8</td>
</tr>
<tr>
<td>ADI Repetitive behaviors</td>
<td>5.5 (3.2)</td>
<td>3</td>
</tr>
<tr>
<td>ADI Age of onset</td>
<td>2.7 (1.1)</td>
<td>1</td>
</tr>
<tr>
<td>ADOS Communication</td>
<td>4.1 (1.7)</td>
<td>2</td>
</tr>
<tr>
<td>ADOS Social behavior</td>
<td>8.9 (3.8)</td>
<td>4</td>
</tr>
</tbody>
</table>

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vs. fearful voice). A significant interaction between the two variables can be decomposed into the specific contrast effects in which the effect of congruency is tested. For corrugator muscle, congruency effects across both groups are measured for congruent fearful face–voice pairs compared with the incongruent fearful face–happy voice pairing. For zygomaticus muscle, the congruent happy face–voice condition is tested against incongruent happy face–fearful voice. To control for possible differences in baseline muscle activity we conducted independent-samples t-tests based on the 500-ms pre-stimulus baseline conditions, separate for each muscle and stimulus condition.

Results

For the corrugator supercilii and zygomaticus major muscles, the facial EMG reactions to visual stimuli are presented in Figure 1. Presentation of a fearful face significantly increased corrugator activity more than presentation of a happy face in both groups, $F(1,24) = 10.85$, $p < .01$. The two groups did not differ in this effect, as there was no significant Emotion * Group interaction. However, although no group interaction was found in the difference between corrugator responses to happy and fearful faces, corrugator activity to fearful faces only could be informative regarding possible sensitivity to negative stimuli among individuals with PDD. To test this hypothesis, we continued with an independent-samples t-test comparing percentage of corrugator activity for fearful faces between both groups. Specifically, mean ($\pm$ SE) percentage of corrugator activity compared with baseline was significantly larger in the PDD group (106.9% ± 2.2) than among healthy controls (103.7% ± 9), $t(24) = -1.3$, $p < .05$.

Zygomaticus major activity was more pronounced in response to the presentation of happy compared to fearful facial expressions across both groups, $F(1,24) = 18.85$, $p < .001$. The analysis furthermore revealed a significant Group * Emotion interaction, $F(1,24) = 5.08$, $p < .05$. Decomposing this interaction in the specific effect of Emotion for both groups separately revealed significant main effects in both the PDD group ($F(1,12) = 13.46$, $p < .01$ and the control group ($F(1,12) = 5.67$, $p < .05$). To specifically test whether the difference in zygomatic response between happy and fearful faces was indeed larger in the PDD group, we calculated this difference for both groups. An independent-samples t-test surprisingly showed that the difference in zygomatic response was significantly larger for the PDD group (mean ± SE difference 8.4% ± 2.3) than for the control group (2.6% ± 1.1), $t(24) = -2.25$, $p < .05$. As can be seen from Figure 1B, in both groups zygomatic activity was clearly reduced in response to fearful faces compared with activity during baseline (mean ± SE for control group was 96.3% ± 1.0, for PDD group 92.7% ± 1.7). A between-group comparison using an independent-samples t-test revealed that this inhibitory effect of stimulus presentation on zygomatic activity was not significantly different between the two groups, $t(24) = 1.8$, $p = NS$.

Facial EMG reactions to AV stimulus pairs are shown in Figures 2 and 3. Across both groups, corrugator muscle responses revealed a marginally significant effect of Emoface, $F(1,24) = 3.46$, $p = .08$, and a significant effect of Emovoice, $F(1,24) = 6.27$, $p < .05$. The interaction between Emoface and Emovoice was also significant, $F(1,24) = 4.52$, $p < .05$. No significant interactions with group were found (all $F < 1$). Decomposing the Emoface * Emovoice interaction into an analysis of specific congruency effects across both groups revealed that corrugator muscle activity was significantly increased in response to congruent fearful face-voice pairs, $F(1,24) = 10.49$, $p < .01$, as compared to the incongruent fearful face–happy voice pairing. Again, this effect was similarly observed in both groups (no significant Congruency * Group interaction; $F < 1$). Note that this increase in corrugator activity is absent when a fearful voice was added to a happy face (Figure 2).

Likewise, analyses of AV stimuli on zygomatic muscle activity revealed no significant effect of Emoface and a marginally significant effect of Emovoice, $F(1,24) = 3.69$, $p = .07$. The interaction between Emoface and Emovoice was significant,

Figure 1 Percentage corrugator and zygomaticus activity ($\pm$ SE) compared to baseline in response to happy and fearful faces
(1,24) = 11.87, p < .01. No interactions were found between groups (all F < 1). Analyzing the specific congruency effects revealed significant increases in response to congruent happy face–voice pairs compared to incongruent happy face–fearful voice pairs across both groups, F(1,24) = 21.87, p < .01, with no significant Congruency * Group interaction (F < 1). Again, this increase in zygomaticus activity was not found when a happy voice was coupled to a fearful face (Figure 3).

There were no differences in baseline facial muscle activity between the groups for zygomaticus prior to presentation of happy faces, t(24) = -.37, p = NS, and fearful faces, t(24) = -.34, p = NS; and for corrugator prior to presentation of happy faces, t(24) = 1.02, p = NS, and fearful faces, t(24) = 1.12, p = NS.

Discussion

Electromyographic (EMG) responses of facial muscles to visual and combined visual and auditory affective stimuli were measured in high-functioning adult individuals with PDD and matched controls. With regard to responses to unimodal visual stimuli, we observed that the presentation of a fearful face resulted in more corrugator activity compared to viewing of a happy face, while zygomaticus muscle activity was more pronounced in response to viewing of a happy face compared to a fearful facial expression, in both the control group as well as the PDD group. However, we did find differences between both groups in the facial muscle responses. Surprisingly, individuals with PDD showed a larger difference in zygomaticus activity in response to happy versus fearful faces than the control group. Moreover, we found larger corrugator responses to the presentation of fearful faces, but not to happy faces in the PDD group.

Furthermore, with regard to emotion-congruent AV conditions, there were emotion specific increases in facial muscle activity for both the control group and the PDD group. The AV fearful face–voice pairs showed increased corrugator activity and AV happy face–voice pairs showed increased zygomaticus muscle activity, in comparison with emotionally incongruent face–voice pairs. In short, at the level of reflex-like emotional motor responses we find heightened EMG.

\[ F(1,24) = 11.87, p < .01. \]
responsiveness to facial expressions and normal integration of AV emotional stimuli in individuals with PDD.

While the link between emotion and motor activity is a classical theme in the emotion literature, the interpretation about their relation is still a matter of debate. The discovery of mirror neurons in humans has recently revived the debate on the role of motor structures in emotion perception and has led to the suggestion that the ‘mirror neuron system’ (MNS) plays a fundamental role in social cognition (Gallese, Keysers, & Rizzolatti, 2004). Mirror neurons are a group of neurons, originally identified in the premotor cortex (area F5) of the macaque, that discharge during action execution as well as when these same actions are observed in others (Rizzolatti et al., 1996). Functional neuroimaging provides evidence for the presence of a MNS in humans, consisting of a network of brain areas involving the ventral premotor cortex area F5 and parietal area 7b (Rizzolatti, Fogassi, & Gallese, 2001). Recent speculations hypothesize that similar ‘mirror matching mechanisms’ may also be active during higher order cognitive processes, such as theory of mind (Gallese & Goldman, 1998), language (Rizzolatti & Arbib, 1998) and empathy (Gallese, 2003).

Both the imitation and the observation of emotional expressions may recruit the MNS, together with brain structures known to be associated with emotional processing, such as the amygdala and insula (Carr, Iacoboni, DuBoux, Mazzotta, & Lenzi, 2003). Given the lack of emotional reciprocity among individuals with PDD and the suggested deficits in imitating facial expressions (Hertzig, Snow, & Sherman, 1989), a growing number of studies now suggest that dysfunctions in the MNS are involved in the generation of the disorder (e.g. Dapretto et al., 2006; Williams et al., 2006). However, direct evidence for the role of the MNS in the perception of emotions is still very limited and some of the available evidence indirectly speaks against this view. As a matter of fact, in healthy individuals the same emotion-related EMG effects are observed in response to emotional faces (Dimberg, 1982), vocal affect expressions (Hietanen et al., 1998) and emotional body postures (Magnée et al., 2007). This argues against the possibility that this emotion-specific facial muscle reaction is based on mimicry of the stimulus presented, and by the same token it also argues against the notion that imitation is the crucial component in automatic emotion perception as suggested by mirror neuron theorists (Gallese, 2003). In line with this, we suggest that the motor activity as measured in the present study may be triggered in brain centers that can function relatively independently from experiencing the emotional significance. Recent literature points to the amygdala as a brain structure that is particularly involved in grasping the emotional significance of stimuli (de Gelder, 2006).

Numerous studies have suggested a central role for the amygdala in processing of facial expressions, especially fear (Morris et al., 1998). Various functional MRI studies among individuals with PDD have reported deficits in amygdala functioning during perception of facial expressions (e.g., Ashwin et al., 2007; Critchley et al., 2000). On the other hand, these studies contrast with findings by Dalton and colleagues (2005), who found greater activation in the left amygdala of their autistic subjects than matched controls in response to facial stimuli, both emotional and non-emotional. Furthermore, amygdala activation was positively correlated with the amount of eye gaze, indicating that eye fixation and not the emotional content of the face is associated with a heightened emotional response in the patients.

The present finding of increased physiological responsiveness in individuals with PDD to the presentation of facial stimuli is consistent with the Dalton study (2005). Since we did not find differences in baseline muscle activity between the healthy participants and patients, group differences in facial muscle activity were solely the result of the presentation of facial expressions. Therefore, our data suggest that individuals with PDD show a heightened emotional motor response related to facial stimuli, both happy and fearful. Different results, however, were found in a recent EMG study by McIntosh, Reichmann-Decker, Winkielman, and Wilbarger (2006), who found clear deficits in spontaneous EMG responses to happy and angry faces in a group of individuals with PDD. Although there were several methodological differences between the studies (angry instead of fearful faces; blocked in instead of randomized stimulus presentation; differences in stimulus duration, response windows and artifact correction), the most important difference may be the instructions used. In our study the task of the participant was to judge the sex of each facial picture, while in the McIntosh study instructions were to passively ‘watch the pictures as they appear on the screen’. The Dalton study clearly stated that attention to the (eyes in the) face is of crucial importance in finding increased responses in the patient group, and the active task demands used in our study may have triggered this. The use of short stimulus duration and intervals might have further increased the attentional load that had to be given to the face.

While our finding of increased EMG reactivity to emotional expressions in individuals with PDD is consistent with amygdala dysfunction, the relationship with their problems in social interaction is not entirely clear. One possibility is that it is related to avoidance of social interaction. For instance, measuring electrodermal activity in a group of children with autism, Hirstein, Iversen, and Ramachandran (2001) showed that almost all autistic children had significantly higher electrodermal activity than a group of matched controls. They argued that in order
to control for this hyperactivation, the patients develop a kind of homeostasis-driven behavior, such as the typical gaze avoidance. Our findings could reflect the same compensational mechanism.

Because the same EMG responses are observed to emotions present in faces and voices, we were able to investigate whether deficits in the patients’ social behavior may be due to impairments in processing information from multiple channels. Several brain imaging and electrophysiological studies of cross-modal emotion perception in healthy subjects have clearly demonstrated amplification of the neural signal during emotionally congruent AV perception. Dolan, Morris, and de Gelder (2001), for instance, observed increases in amygdala activation when fearful faces were accompanied by fearful voices. The suggestion by Dolan and colleagues that the amygdala is important for emotional crossmodal integration might be directly related to increases in facial muscle activity in response to congruent AV information, as the amygdala is also highly prone to be involved in triggering the automatic facial expressions (Fanardjian & Manvelyan, 1987).

Research on crossmodal integration of emotional information in individuals with PDD, however, to date displays conflicting results (Hall et al., 2003; Hobson, 1986; Prior et al., 1990). The present study argues against impairments in AV processing of affective information at the level of reflex-like motor responses in PDD. This is evidenced by the fact that both groups show increased emotion specific facial muscle activity to emotionally congruent stimuli. Intact facial muscle responses in the patient group indicate that the reflex-like emotional responses, involving connections of amygdala to motor structures and brain stem nuclei, are intact.

In the present study all the participants were young adults with high IQ; therefore further research is needed to establish whether the present results can be generalized to a younger population or to individuals with PDD suffering from intellectual disabilities. Furthermore, since we did not measure EMG responses to non-emotional faces, we cannot conclude whether the effects are specific for the emotional content presented in the face. Generalization of the results therefore should be investigated. The failure to replicate the McIntosh study shows that subtle differences in task design or stimuli used may have a major impact on the results. Thorough investigation of the factors that influence the EMG effects therefore seems necessary.

**Conclusion**

Taken together, the present results indicate that the reaction to happy and fearful facial stimuli as measured by facial EMG is enhanced among individuals with PDD. These findings might point to heightened physiological re-activity to these stimuli. Furthermore, EMG responses to combined visual and auditory affective stimuli are intact, indicating normal integration of emotional information from faces and voices in the PDD group at least at the level of reflex-like emotional motor responses. However, further research is required to clarify the relation between this automated motor reaction and the deficits of individuals with PDD in social interaction.

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**Correspondence to**

Maurice Magneé, Department of Child and Adolescent Psychiatry, University Medical Center Utrecht, B01.201, Heidelberglaan 100, 3584 CX Utrecht, The Netherlands; Tel: + 31 30 250 6026; Fax: + 31 30 250 5444; Email: M.J.C.M.Magnee@umcutrecht.nl

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